

PATENT

## DORSEY & WHITNEY LLP

US BANK CENTRE 1420 51'H AVENUE, SUITE 3400 SEATTLE WA 98101 **FACSIMILE COVER SHEET** (206) 903-8820

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August 22, 2002

GROUP 3700

TO: Examiner Joseph J. Hail. III Commissioner for Patents Washington, D.C. 20231

FAX # (703) 872-9302 TELEPHONE: (703) 308-2687

FROM: Mark W. Roberts, Esq.

TELEPHONE: (206) 903-8800 Main; (206) 903-8728 Direct

Applicant : Jason B. Elledge

Attorney Docket No.: 500188.02

Serial No. : 09/616,794

: 3723

Filed

: July 14, 2000

Examiner

Group Art Unit

: Willie Wendell Berry, Jr.

Title

: APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT

PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES

AND METHODS FOR MAKING AND USING SAME

Date Sent: February 11, 2002

**Date Due** 

: March 31, 2002

I hereby certify that the attached is a true copy of an Amendment mailed to the USPTO on February 11, 2002, addressed to Commissioner for Patents, Washington, DC, 20231, in response to an Office Action received from the USPTO dated December 31, 2001. Submitted herewith is a copy of the Amendment and a copy of the return receipt postcard date stamped by the USPTO acknowledging receipt of the Amendment on February 26, 2002. As the Amendment was filed in a timely manner, Applicant hereby requests the USPTO withdraw the Notice of Abandonment dated August 15, 2002.

## Documents Attached for Filing:

•	No. Pages
Copy of Originally Submitted Postcard and Copy of	
Return Receipt Postcard Date Stamped	
February 26, 2002 by the USPTO	1
Copy of Originally Submitted Check	1
Two Copies of Originally Submitted	
Fee Transmittal Sheet	2
Copy of Originally Submitted Amendment	16
Total Number of Pages (including cover sheet):	21

Original will not be resent via mail,

Date

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PLEASE CONTACT Stephanie Jansen AT (206)903-8748 IF TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

500188.02 MWR:sj

Commissioner for Patents Washington, DC 20231

SENT: February 11, 2002 DUE: March 31, 2002

Kindly acknowledge receipt of the below-listed documents by placing your receiving stamp hereon and mailing:



Check; Fee Transmittal Sheet (+ copy); Amendment; in re: Jason B. Elledge, USAN 09/616,794, filed July 14, 2000, for APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING SAME.

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DORSEY & WHITNEY LLP

Date Stamp

500188.02 MWR:sj

Commissioner for Patents Washington, DC 20231

SENT: February 11, 2002 DUE: March 31, 2002

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Check; Fee Transmittal Sheet (+ copy); Amendment; in re: Jason B. Elledge, USAN 09/616,794, filed July 14, 2000, for APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING SAME.

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DORSEY & WHITNEY LLP



USAN: 09/616,794

DOBSEY & WHITNEY ELP U.S. BANK CENTRE W 1420 STH. AVENUE, SUITE 3400 SEAT ILE, WA.98101-4010 (206) 903-8800

February 11 2002

PAY TO THE ORDER OF \_

COMMISSIONER OF PATENTS AND TRADEMARKS

372.00

NOT OVER \$3,000

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DOLLARS

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DORSEY & WHITNEY L.L.P. SEATTLE, WA 98101-4010

## **DETACH AND RETAIN THIS STATEMENT**

DATE	DESCRIPTION		TAUOMA
2/11/02 [	Docket No.: 500188.02 USAN : 09/616,794 Applicant : Jason B. Elledge title : APPARATUS FOR IN-SITU OPT WEB-FORMAT PLANARIZING MA OR CHEMICAL-MECHANICAL PI ELECTRONIC-DEVICE SUBSTRA METHODS FOR MAKING AND US		
	Fee for Extra Claims in Response to O	ffice Action	
	Total Claime (36/16 extra) Ind. Claims (4/1 extra) MWR:sj 446602-909	\$ 288 84 TOTAL	372.00



		Complete if Known				
		Application No.		09/616		
		Filing Date		July 14, 2000		
FEE TRAN\$MITTAL (FOR FY 2001)		First Named	Inventor	Jason	B. Elledge	
(FOR F1 2001)		Group Art Unit		3723		
		Examiner		Willie E	Willie Berry, Jr.	
		Atty. Docket	Number	50018	3.02	
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)				
1. [X] The Commissioner is hereby authorized to charge any additional fee regulared under 37 C.F.R. §§ 1.16 and 1.17 and		3. ADDITIONAL FEES Large Entity Small Entity				
1.136(a)(3) and credit any over payments to Der No.: 50-1266; Deposit Account Name: DORSE	posit Account	Feb. Fe	e Eee	Fee	Ess Bassislins	Fee paid
140.: <u>90-1200;</u> Deposit Account Name. <u>DON-35.</u>	TO AND LINE COL	105=1	) TOTAL 30 205	will to	. Fee Description Surcharge - Late filing (ce or oath	\$
2. [X] Check Enclosed		10.00	50	11.2	Surcharge - late provisional filing fee	\$
FEE CALCULATION		1	30 - 139	hatte 1	or cover sheet Non-English specification	\$
1. BASIC FILING FEE		2.5	20 47	2,520	For Filing a Request for Reexamination	\$
Large Entity Small Entity Fee Fee Fee Fee Fee	_	219527 3	00 198	300	Publication (early or Republication)	\$
Gode (S)	ription		10 215	55	Extension for reply within first month	s
740 201 370 Utilly F	Ring Fee	116- 4	00 218	200	Extension for reply within 2 <sup>nd</sup> month	\$
330 206 165 Design	Filing Fee	a c promi	20 -217	::	Extension for reply within Sri month	\$
STATE OF THE PROPERTY OF THE P	T mily ree	1,4	40 218	720	Extension for reply within 4th month	\$
740 208 370 Reissu	e Filing Fee	128 1,9	1 1 1 1 1 1		Extension for reply within 5th month	- \$
160 214 80 Provisi	onal Filing Fee	*S	20 220	160	Filing a brief in support of an appeal	<u> </u>
Subtotal (1)	) \$ <u>0</u>		80 270 10 248	140 55	Request for oral hearing Terminal Disclaimer Fee	- 5
<u></u>	· · · · · · · · · · · · · · · · · · ·	1	10 240		Petition to revive – unavoidable	\$
2. EXTRA CLAIM FEES Current Claims Prior Extra F	ee Fee Paid		80 241		Petition to revive - unintentional	\$
Total 36 - 20 = 16 x	\$ 18 = \$ 288	142 1.2	alled allered	640	Utility/Relssue issue fee (+ advance	\$
ind. 4 - 3 = 1 x	\$ 84 = \$ 84	1 449 4	60 243	230	copies)  Design issue fee (+ advance copies)	\$
Multiple Dependent Claims x	\$ = \$	100	30 - 722	77 '	Pelitions to the Commissioner	\$
Sub	total (2) \$ 372	123.	50 - 125	50.	Petitions related to provisional applications	\$
Larké Entity Small Entity		126	80 126		Submission of IDS	5
Fee Fee Special Fee Fee Description		:581	40 81	∰ 40	Recording each patent assignment per property (times number of	\$
18 203 9 Claims in exces	s of 20	179 7	40 279	370	properties) . Request for Continued Examination	S
84 1202 42 Independent da	lms in excess of 3	Other fee (s			(RCE)	<u> </u>
280 204 140 Multiple depend	ent Claim ndent claims over	05.0. 100 (0	,,,,,		Subtotal (3)	\$0
respective so with the second	in excess of 20 and	]		;		
18 Partition 9 Reside Calms over original part				•	Total Amount of Payment:	\$ 372
				t	•	
Submitted by:		<u>L</u>			***	
	Bon No : 40 400	<del></del> -	—-т	Toloo-	av (206) 002 9729	
Name: Mark W. Roberts, Ph.D.	Reg. No.: 46,160			relebuou	e: (206) 903-8728	

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		Complete if Known					
	Application No. 09/616,794		,794	)4			
	Filing Dat	Filing Date July 14, 2000			, 2000		
FEE TRANSMITTAL SHEET	First Named Inventor		entor	Jason B. Elledge			
(FOR FY 2001)	Group Art Unil 3723						
	Examiner			Willie Berry, Jr.			
	Atty. Docket Number 500188.02						
METHOD OF PAYMENT (Check One)	FEE CALCULATION (Continued)						
The Commissioner is hereby authorized to charge any	3. ADDITIO	ONAL			· · · · · · · · · · · · · · · · · · ·		
additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account	Large Entity Small Entity						
No.: 50-1268; Deposit Account Name: DORSEY & WHITNEY LLP	Code	(\$)	Code	∴ (\$)	Fee Description	Fee paid	
2. X Check Enclosed	105:11	ž	205	<b>.</b> .	Surcharge - Late filing fee or oath Surcharge - late provisional filing fee	\$	
FEE CALCULATION	1139:17	50	227	25	orcoversheet	\$	
· · · · · · · · · · · · · · · · · · ·	100	3	138	` 130 :	Non-English specification For Filing a Request for	\$	
BASIC FILING FEE     Large Entity     Small Entity	billion Bell of 11	ž	147	2,520	Reexamination	\$	
Fee Fee Fee Description	11195	2	186	300	Publication (early or Republication)	\$	
MEGOGE [3]	1,415	•	215	55	Extension for reply within first month  Extension for reply within 2 <sup>nd</sup> month	<u> </u>	
740 201, 370 Utility Filing Fee	116		216	200	Extension for reply within 3 <sup>rd</sup> month	<u>s</u>	
Tabbana 330 1206: 165 [] Design Filing Fee	11.5.5 (6)		217	720	Extension for reply within 4 <sup>th</sup> month	<del>-</del>	
740 208 370 Reissue Filing Fee	121 31 7	1,980	280	980	Extension for reply within 5 <sup>th</sup> month	<u></u>	
- Principal design of the state	120		220	160	Filing a brief in support of an appeal	<b>\$</b>	
160 214 80 [] Provisional Filing Fee	121	280 :	:270	140	Request for oral hearing	\$	
Subtotal (1) \$ <u>0</u>	149	110	4248	· 55	Terminal Disclaimer Fee	\$	
2. EXTRA CLAIM FEES	140	110	240	55	Petition to revive - unavoidable	\$	
Current Claims Prior Extra Fee Fee Pald		1,280	241	640	Pelition to revive – unintentional	\$	
Total 36 - 20 = 16 x \$18 = \$288	142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)	\$	
Ind. 4 - 3 = 1 x \$84 = \$84	143.1	460	243	230	Design issue fee (+ advance copies)	\$	
IMultiple Dependent Claims x \$ = \$	122	130	122	130	Petitions to the Commissioner	\$	
Subtotal (2) <u>\$.372</u>	23	50	123	50	Petitions related to provisional applications	\$	
Large Entity Small Entity	120 mm		26	180	Submission of IDS	\$	
Fee (5) Fee (5) Fae Description	12.581:12.0 11.00000000000000000000000000000000	:	81.	40	Recording each palent assignment per property (times number of	\$	
18 200 9 Claims in excess of 20	A TO A SEC	740	279	370	properties) Request for Continued Examination	s	
84 202 42 Independent claims in excess of 3	Other fee	:	r iner state	. 0,0	(RCE)	<u>\$</u>	
280 1141 140 Multiple dependent Claim	Vuici ice	, faben	77		Subtotal (3)	\$0	
80 Spile 40 Reissue independent claims over original patent							
18 200 9 Reissue claims in excess of 20 and over original patent				•	Total Amount of Payment:	\$ 372	
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	<u></u>			···			
Submitted by:							

Telephone: (206) 903-8728 Name: Mark W. Roberts, Ph.D. Reg. No.: 46,160 2-11-62

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